

O I P
INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

AUG 15 2002

ATTY DOCKET NO.
3698D7

SERIAL NO.
09/964,891

John Hendricks

FILING
September 28, 2001

GROUP
2611

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----------------|------------|------------------------|-------|----------|-------------------------------|
| 1. | 5,416,508 | 5/16/1995 | Kazushi Sakuma, et al. | 725 | 139 | RECEIVED AUG 10 2002 |
| 2. | 5,353,121 | 10/4/1994 | Patrick Young, et al. | 725 | 52 | Technology Center 2600 |
| 3. | 5,404,393 | 4/4/1995 | Roger Remillard | 379 | 93.25 | |
| 4. | 5,223,924 | 6/29/1993 | Hugo Stubbe | 725 | 46 | |
| 5. | 5,479,268 | 12/26/1995 | Patrick Young, et al. | 386 | 83 | |
| 6. | 5,561,708 | 10/1/1996 | Roger Remillard | 379 | 93.19 | |
| 7. | 5,561,709 | 10/1/1996 | Roger Remillard | 379 | 93.19 | |
| 8. | 5,663,757 | 9/2/1997 | Fernando Morales | 725 | 5 | |
| 9. | 5,990,927 | 11/23/1999 | John Hendricks, et al. | 725 | 132 | |
| 10. | 5,477,262 | 12/19/1995 | Robert Baker, et al. | 725 | 38 | |
| 11. | 5,850,218 | 12/15/1998 | Mike LaJoie, et al. | 725 | 45 | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|----|-----------------|-----------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| 1. | WO 97/13368 | 4/10/1997 | WIPO | | | | |
| 2. | WO 94/14282 | 6/23/1994 | WIPO | | | | |
| 3. | WO 94/13107 | 6/9/1994 | WIPO | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|--|--|
| | | |
| | | |

| | | |
|----------|------------------|-----------------|
| EXAMINER | <i>Jan Blush</i> | DATE CONSIDERED |
|----------|------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| <p style="text-align: center;">O P E INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> <p>AUG 1 5 2002</p> <p>PERTINENT PAGES</p> | | ATTY DOCKET NO. 3698D7 | | SERIAL NO. 09/964,891 | | |
|--|-----------------|------------------------------|-----------------------------|--------------------------|----------|-------------------------------|
| | | John Hendricks | | | | |
| | | FILING September 28, 2001 | GROUP 2611 | | | |
| | | U.S. PATENT DOCUMENTS | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| 12. | 5,212,553 | 5/18/1993 | Kazuhisa Maruoka | 725 | 68 | RECEIVED |
| 13. | 5,479,266 | 12/26/1995 | Patrick Young, et al. | 386 | 83 | AUG 19 2002 |
| 14. | 6,025,837 | 2/15/2000 | Joseph Matthews III, et al. | 345 | 721 | Technology Center 2600 |
| 15. | 5,940,073 | 8/17/1999 | Brian Klosterman, et al. | 345 | 721 | |
| 16. | 5,808,608 | 9/15/1998 | Patrick Young, et al. | 725 | 139 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | YES |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
| | | | | | | |
| | | | | | | |
| EXAMINER | | DATE CONSIDERED 11/21/05 | | | | |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | | | | | | |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT APPLICATION

Sheet 1 of 26



| | | | | |
|--|--|--------------------------------|-------------------------------|--------------------------|
| FORM RTO 1449 | | ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | APPLICANT John S. HENDRICKS | | |
| | | FILING DATE 9/28/2001 | GROUP 2611 | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | |
|-----------------------|-----------------|-----------------------|-------------------|---|
| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
| | 1A 5,357,276 | October 18, 1994 | Banker et al. | |
| | 1B 4,712,105 | December 8, 1987 | Kohler | |
| | 1C 5,253,066 | October 12, 1993 | Vogel | |
| | 1D 5,152,011 | September 29, 1992 | Schwob | |
| | 1E 4,996,597 | February 26, 1991 | Duffield | |
| | 1F 5,341,166 | August 23, 1994 | Garr et al. | |
| | 1G 4,706,121 | November 10, 1987 | Young | |
| | 1H 4,928,168 | May 22, 1990 | Iwashita | |
| | 1I 5,144,663 | September 1, 1992 | Kudelski et al. | |
| | 1J 5,105,268 | April 14, 1992 | Yamanouchi et al. | |
| | 1K 4,947,429 | August 7, 1990 | Bestler et al. | |
| | 1L 5,093,718 | March 3, 1992 | Hoarty et al. | |

RECEIVED

MAY 06 2004

Technology Center 2600

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------------|------------------|-------------------------------|--|-------------------------------|
| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
| | 1M JP 3-114,375 | May 15, 1991 | Fujita | | <input type="checkbox"/> |
| | 1N DE 3,935,294 | April 25, 1991 | Mahmud et al. | | <input type="checkbox"/> |
| | 1O EP 0420123 | April 3, 1991 | Fujita et al. | | <input type="checkbox"/> |
| | 1P JP 62-24,777 | February 2, 1987 | Kawashima | | <input type="checkbox"/> |
| | 1Q JP 1-130,683 | May 23, 1989 | Doumura | | <input type="checkbox"/> |

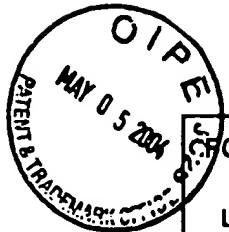
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|---|
| 1R | Rellmer, Memories In My Pocket, February 1991. |
| 1S | Olshansky et al., Subscriber Distribution Networks Using Compressed Digital Video, November 1992. |
| 1T | Dinare et al., Markets and Products Overview, 1991. |

| | |
|--------------------|-----------------|
| EXAMINER | DATE CONSIDERED |
| <i>John Schwob</i> | 11/11/05 |

PATENT APPLICATION

Sheet 2 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|------------------|-----------------|------------------|
| ATTY. DOCKET NO. | APPLICATION NO. | CONFIRMATION NO. |
| 3698.D7 | 09/964,891 | 2109 |

APPLICANT

John S. HENDRICKS

| | |
|-------------|-------|
| FILING DATE | GROUP |
| 9/28/2001 | 2611 |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|----|-----------------|----------------------|--------------------|---|
| | 1A | 5,046,093 | September 3, 1991 | Wachob | |
| | 1B | 5,020,129 | Martin et al. | May 28, 1991 | |
| | 1C | 4,792,972 | Cook, Jr. | December 20, 1988 | |
| | 1D | 5,077,607 | Johnson et al. | December 31, 1991 | |
| | 1E | 4,975,951 | Bennett | December 4, 1990 | MAY 06 2004 |
| | 1F | 4,860,379 | Schoeneberger et al. | August 22, 1989 | Technology Center 2600 |
| | 1G | 4,484,217 | Block et al. | November 20, 1984 | |
| | 1H | 5,091,782 | Krause et al. | February 25, 1992 | |
| | 1I | 5,057,917 | Shalkausler et al. | October 15, 1991 | |
| | 1J | 5,049,990 | Kondo et al. | September 17, 1991 | |
| | 1K | 4,816,901 | Music et al. | March 28, 1989 | |
| | 1L | 4,688,246 | August 18, 1987 | Eilers et al. | |

RECEIVED

MAY 06 2004

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|-----------------|--------------------|-------------------------------|--|-------------------------------|
| | 1M | WO 92/17027 | October 1, 1992 | McMullan | | <input type="checkbox"/> |
| | 1N | WO 91/00670 | January 10, 1991 | Hallenbeck | | <input type="checkbox"/> |
| | 1O | EP 0 424 648 | May 2, 1991 | Wachob | | <input type="checkbox"/> |
| | 1P | EP 0 506 435 | September 30, 1992 | Gammie et al. | | <input type="checkbox"/> |
| | 1Q | EP 0 402 809 | December 19, 1990 | Garza | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | Advertisement, Hong Kong Enterprise, November 1988 |
| 1S | Advertisement, Great Presentations, 1987 |
| 1T | Advertisement, Consumer Dist. Fall/Winter 1992 |

EXAMINER

DATE CONSIDERED

11/21/05



PATENT APPLICATION

Sheet 3 of 26

FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|--------------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| APPLICANT John S. HENDRICKS | | |
| FILING DATE 9/28/2001 | GROUP 2611 | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|--------------------|-----------------|---|
| 1A | 4,995,078 | February 19, 1991 | Monslow et al. | |
| 1B | 5,206,722 | April 27, 1993 | Kwan | |
| 1C | 5,247,347 | September 21, 1993 | Litteral et al. | |
| 1D | 5,166,886 | November 24, 1992 | Molnar et al. | |
| 1E | 5,289,271 | February 22, 1994 | Watson | |
| 1F | 4,959,810 | September 25, 1990 | Darbee et al. | MAY 06 2004 |
| 1G | 4,488,179 | December 11, 1984 | Krüger et al. | Technology Center 2600 |
| 1H | 4,977,455 | December 11, 1990 | Young | |
| 1I | 5,151,789 | September 29, 1992 | Young | |
| 1J | 5,015,829 | May 14, 1991 | Eilert et al. | |
| 1K | 5,202,817 | April 13, 1993 | Koenck et al. | |
| 1L | 5,253,275 | October 12, 1993 | Yurt et al. | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | WO 93/22877 | November 11, 1993 | Hoarty et al. | | <input type="checkbox"/> |
| 1N | WO 92/21206 | November 26, 1992 | Steele et al. | | <input type="checkbox"/> |
| 1O | WO 91/00670 | January 10, 1991 | Hallenbeck | | <input type="checkbox"/> |
| 1P | WO 91/03112 | March 7, 1991 | De Bey | | <input type="checkbox"/> |
| 1Q | WO 92/11713 | July 9, 1992 | Gillette | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | Moloney, Digital Compression in Today's..., June 6, 1993 |
| 1S | Bestler, Flexible Data Structures..., June 6, 1993 |
| 1T | Gelman et al., A Store-and-Forward..., June 21, 1991 |

| | |
|-----------------------------|-----------------------------|
| EXAMINER <i>J. L. S.</i> | DATE CONSIDERED 11/21/05 |
|-----------------------------|-----------------------------|



PATENT APPLICATION

Sheet 4 of 26

FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|---------------------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| APPLICANT John S. HENDRICKS | | |
| FILING DATE 9/28/2001 | GROUP 2611 | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | |
|-----------------------|-----------------|-----------------------|------------------|---|
| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
| 1A | 5,345,594 | September 6, 1994 | Tsuda | |
| 1B | 4,961,109 | October 2, 1990 | Tanaka | |
| 1C | 4,829,558 | May 9, 1989 | Welsh | |
| 1D | 5,355,162 | October 11, 1994 | Yazolino et al. | |
| 1E | 5,182,639 | January 26, 1993 | Jutamulia et al. | |
| 1F | 5,132,789 | July 21, 1992 | Ammon et al. | MAY 06 2004 |
| 1G | 4,876,736 | October 24, 1989 | Kiewit | Technology Center 2600 |
| 1H | 5,293,540 | March 8, 1994 | Trani et al. | |
| 1I | 5,001,554 | March 19, 1991 | Johnson et al. | |
| 1J | 5,410,326 | April 25, 1995 | Goldstein | |
| 1K | 5,047,867 | September 10, 1991 | Strubbe et al. | |
| 1L | 5,414,426 | May 9, 1995 | O'Donnell et al. | |

RECEIVED

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-------------------|-------------------|-------------------------------|--|-------------------------------|--|
| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached | |
| 1M | Taiw. Pub. 234223 | November 11, 1994 | Yen et al. | | <input type="checkbox"/> | |
| 1N | Taiw. Pub 236065 | December 11, 1994 | Judin | | <input type="checkbox"/> | |
| 1O | Taiw. Pub 235359 | December 1, 1994 | Keiichi et al. | | <input type="checkbox"/> | |
| 1P | Taiw. Pub 235358 | December 1, 1994 | Glinco | | <input type="checkbox"/> | |
| 1Q | Taiw. Pub 236744 | December 21, 1994 | Franklin | | <input type="checkbox"/> | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | Hewlett Packard Co., HP-41C Operating Manual, December, 1982 |
| 1S | Sorce, et al., Human Factors in Telecommunications, September 10-14, 1990. |
| 1T | Herron, T.L., Teaching With The Internet, Vol. 1, No. 3 1998. |

| EXAMINER | DATE CONSIDERED |
|-------------------|-----------------|
| <i>Jan Shultz</i> | 11/21/03 |

PATENT APPLICATION

Sheet 5 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

| | | |
|---------------------------------------|--|--|
| APPLICANT John S. HENDRICKS | | |
|---------------------------------------|--|--|

| | | |
|--------------------------|---------------|--|
| FILING DATE 9/28/2001 | GROUP 2611 | |
|--------------------------|---------------|--|

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|----|-----------------|--------------------|---------------------|---|
| | 1A | 5,237,311 | August 17, 1993 | Mailey et al. | |
| | 1B | 5,078,019 | January 27, 1992 | Aoki | |
| | 1C | 5,410,344 | April 25, 1995 | Graves et al. | |
| | 1D | 5,155,591 | October 13, 1992 | Wachob | |
| | 1E | 5,432,542 | July 11, 1995 | Thibadeau et al. | |
| | 1F | 5,036,537 | July 30, 1991 | Jeffers et al. | MAY 06 2004 |
| | 1G | 5,323,240 | June 21, 1994 | Amano et al. | Technology Center 2600 |
| | 1H | 5,367,571 | November 22, 1994 | Bowen et al. | |
| | 1I | 5,151,782 | September 29, 1992 | Ferraro | |
| | 1J | 5,206,954 | April 27, 1993 | Inoue et al. | |
| | 1K | 5,343,239 | August 30, 1994 | Lappington et al. | |
| | 1L | 5,327,554 | July 5, 1994 | Palazzi, III et al. | |

RECEIVED

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|------------------|------------------|-------------------------------|--|-------------------------------|
| | 1M | Taiw. Pub-238461 | April 23, 1992 | Manullan Jr. | | <input type="checkbox"/> |
| | 1N | EP-167,237 | January 8, 1986 | Campbell | | <input type="checkbox"/> |
| | 1O | EP-149,536 | January 18, 1984 | Baker | | <input type="checkbox"/> |
| | 1P | EP-158,548 | October 16, 1985 | Waalboer | | <input type="checkbox"/> |
| | 1Q | EP-145,063 | June 19, 1985 | Van Weezel | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | Azuma, J., Creating Educational Web Sites, March 1999. |
| 1S | Goldberg, M.W., et al., World Wide Web Course Tool..., May 1996. |
| 1T | Waite Group Press, An Interactive Lesson....., "Onlinel 1996. |

EXAMINER

DATE CONSIDERED

11/21/05

PATENT APPLICATION

Sheet 6 of 26



FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|--------------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| APPLICANT John S. HENDRICKS | | |
| FILING DATE 9/28/2001 | GROUP 2611 | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|--------------------|--------------------|---|
| 1A | 4,724,491 | February 9, 1988 | Lambert | |
| 1B | 5,375,068 | December 20, 1994 | Palmer et al. | |
| 1C | 5,099,319 | March 24, 1992 | Esch et al. | |
| 1D | 5,339,315 | August 16, 1994 | Maeda et al. | |
| 1E | 4,697,209 | September 29, 1987 | Kiewit et al. | |
| 1F | 5,481,294 | January 2, 1996 | Thomas et al. | MAY 06 2004 |
| 1G | 5,473,362 | December 5, 1995 | Fitzgerald et al. | Technology Center 2600 |
| 1H | 5,150,118 | September 22, 1992 | Finkle et al. | |
| 1I | 5,341,474 | August 23, 1994 | Gelman et al. | |
| 1J | 5,319,455 | June 7, 1994 | Hoarty et al. | |
| 1K | 5,477,263 | December 19, 1995 | O'Callaghan et al. | |
| 1L | 5,014,125 | May 7, 1991 | Pocock et al. | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-----------------------------|-------------------------------|--|-------------------------------|
| 1M | EP 103 438 | March 21, 1984 | Barnes et al. | | <input type="checkbox"/> |
| 1N | IN 762/Cal/93 | App. Filed December 7, 1993 | | | <input type="checkbox"/> |
| 1O | EP 399,200 | November 28, 1990 | Kauffman et al. | | <input type="checkbox"/> |
| 1P | EP 450,841 | October 9, 1991 | Walker et al. | | <input type="checkbox"/> |
| 1Q | GB 2 177 873A | January 28, 1987 | Davidsen | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | Speech Technology, Electronic Dictionary . . . , April 1, 1989 |
| 1S | SIGCSE/SIGCUE Conf., Enhancing Teaching . . . , June 1-2, 1996 |
| 1T | Kubota, et al., Terrestrial Data . . . , August 1, 1997 |

EXAMINER

DATE CONSIDERED

11/21/05

PATENT APPLICATION

Sheet 7 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|------------------|--------------------------|------------------|
| ATTY. DOCKET NO. | APPLICATION NO. | CONFIRMATION NO. |
| 3698.D7 | 09/964,891 | 2109 |
| APPLICANT | John S. HENDRICKS | |
| FILING DATE | GROUP | |
| 9/28/2001 | 2611 | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|--------------------|-------------------|---|
| 1A | 5,515,098 | May 7, 1996 | Carles | |
| 1B | 5,412,416 | May 2, 1995 | Nemirofsky | |
| 1C | 5,400,401 | March 21, 1995 | Wasilewski et al. | |
| 1D | 5,285,272 | February 8, 1994 | Bradley et al. | |
| 1E | 5,446,919 | August 29, 1995 | Wilkins | |
| 1F | 5,442,626 | August 15, 1995 | Wei | |
| 1G | 5,481,542 | January 2, 1996 | Logston et al. | |
| 1H | 5,481,296 | January 2, 1996 | Cragun et al. | |
| 1I | 5,351,075 | September 27, 1994 | Herz et al. | |
| 1J | 5,483,278 | January 9, 1996 | Strubbe et al. | |
| 1K | 5,469,206 | November 21, 1995 | Strubbe et al. | |
| 1L | 5,319,707 | June 7, 1994 | Wasilewski et al | |

RECEIVED

MAY 06 2004

Technology Center 2600

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | JP 62-140134 | June 23, 1987 | Misaki | | <input type="checkbox"/> |
| 1N | EP 0 281 293 | September 7, 1988 | Ichise | | <input type="checkbox"/> |
| 1O | EP 0 513 553 | November 19, 1992 | Gerlach | | <input type="checkbox"/> |
| 1P | EP 0 570 785 | November 24, 1993 | Diehl | | <input type="checkbox"/> |
| 1Q | EP 0 328 440 | August 8, 1989 | Brisson | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|---|
| 1R | Funkschau, Fur Geschlossene . . ., August 25, 1989. |
| 1S | Gelman, et al., An Architecture For Interactive Applications, May 23, 1993. |
| 1T | Koenen, et al., MPEG-4: Context and Objectives, Signal Processing: Image Communication 9, 295-304 (1997). |

| | |
|--------------------|-----------------|
| EXAMINER | DATE CONSIDERED |
| <i>[Signature]</i> | 11/21/05 |

PATENT APPLICATION

Sheet 8 of 26



FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

| | | |
|---------------------------------------|--|--|
| APPLICANT John S. HENDRICKS | | |
|---------------------------------------|--|--|

| | | |
|--------------------------|---------------|--|
| FILING DATE 9/28/2001 | GROUP 2611 | |
|--------------------------|---------------|--|

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|--------------------|---------------------|---|
| 1A | 5,424,770 | June 13, 1995 | Schmelzer et al. | |
| 1B | 4,694,490 | September 15, 1987 | Harvey et al. (I) | |
| 1C | 4,965,825 | October 23, 1990 | Harvey et al. (III) | |
| 1D | 4,528,643 | July 9, 1985 | Freeny, Jr. | |
| 1E | 5,237,610 | August 17, 1993 | Gammie et al. | |
| 1F | 4,712,130 | December 8, 1987 | Casey | MAY 06 2004 |
| 1G | 5,282,028 | January 25, 1994 | Johnson et al. | Technology Center 2600 |
| 1H | 5,644,354 | July 1, 1997 | Thompson et al. | |
| 1I | 5,099,319 | March 24, 1992 | Esch et al. | |
| 1J | 5,570,126 | October 29, 1996 | Blahut et al. | |
| 1K | 5,661,516 | August 26, 1997 | Carles | |
| 1L | 5,724,091 | March 3, 1998 | Freeman et al. | |

RECEIVED

MAY 06 2004

Technology Center 2600

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | JP 01-020454 | January 30, 1989 | Sel | | <input type="checkbox"/> |
| 1N | DE 4214184 | November 12, 1992 | Christeson et al. | | <input type="checkbox"/> |
| 1O | EP 0377334 | July 11, 1990 | Yu | | <input type="checkbox"/> |
| 1P | EP 0277014 | August 3, 1988 | Tagawa | | <input type="checkbox"/> |
| 1Q | EP 0314572 | May 3, 1989 | Freeman | | <input type="checkbox"/> |

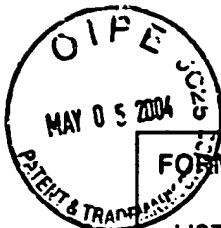
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | Benson et al., Television Engineering Handbook Featuring HDTV Systems, © 1992, 1986. |
| 1S | Pereira et al., EBU Technical Review "MPEG-4 - opening new frontiers to broadcast services", March 21, 1999. |
| 1T | Media Daily, V2, No. 72, Prodigy Offers Total Television Online Guide, April 12, 1994. |

| | |
|----------------------------|-----------------------------|
| EXAMINER <i>J. Shla</i> | DATE CONSIDERED 11/21/05 |
|----------------------------|-----------------------------|

PATENT APPLICATION

Sheet 9 of 26



FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

APPLICANT

John S. HENDRICKS

| | |
|--------------------------|---------------|
| FILING DATE 9/28/2001 | GROUP 2611 |
|--------------------------|---------------|

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|-------------------|------------------|---|
| 1A | 5,343,516 | August 30, 1994 | Callete et al. | |
| 1B | 4,805,014 | February 14, 1989 | Sahara, et al. | |
| 1C | 4,381,522 | April 26, 1983 | Lambert | |
| 1D | 5,500,794 | March 19, 1996 | Fujita et al. | |
| 1E | 5,253,341 | October 12, 1993 | Rozmanith et al. | |
| 1F | 5,073,930 | December 17, 1991 | Green et al. | MAY 06 2004 |
| 1G | 5,075,771 | December 24, 1991 | Hashimoto | Technology Center 2600 |
| 1H | 5,696,906 | December 9, 1997 | Peters et al. | |
| 1I | 5,103,314 | April 7, 1992 | Keenan | |
| 1J | 5,404,505 | April 4, 1995 | Levinson | |
| 1K | 5,390,348 | February 14, 1995 | Magin et al. | |
| 1L | 4,398,216 | August 9, 1983 | Field et al. | |

RECEIVED

MAY 06 2004

Technology Center 2600

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | WO 92/10040 | June 11, 1992 | Rovira et al. | | <input type="checkbox"/> |
| 1N | EP 0299830 | January 18, 1989 | Freeman | | <input type="checkbox"/> |
| 1O | EP 0340643 | November 8, 1989 | Richards | | <input type="checkbox"/> |
| 1P | WO 88/01463 | February 25, 1988 | Seth Smith et al. | | <input type="checkbox"/> |
| 1Q | EP 0396186 | October 7, 1990 | Van de Meent | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|---|
| 1R | Sheldon, Thomas, Windows 3 Made Easy (ISBN: 0-07881-5371, OsborneMcGraw-Hill), January 1989. |
| 1S | Dan et al., Multimedia Systems, A Dynamic Policy of Segment Replication for Load-Balancing in Video-On-Demand Servers, July 1995. |
| 1T | Ikezaki, et al., A New Display Pointing Method, IEEE, Transaction On Consumer Electronics, June 8, 1990. |

| EXAMINER | DATE CONSIDERED |
|--------------------|-----------------|
| <i>Tom Sheldon</i> | 11/21/05 |

PATENT APPLICATION

Sheet 10 of 26



FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|--------------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| APPLICANT John S. HENDRICKS | | |
| FILING DATE 9/28/2001 | GROUP 2611 | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|--------------------|-------------------|---|
| 1A | 4,633,462 | December 30, 1986 | Stifle et al. | |
| 1B | 5,365,265 | November 15, 1994 | Shibata et al. | |
| 1C | 5,396,546 | March 7, 1995 | Remillard | |
| 1D | 5,461,667 | October 24, 1995 | Remillard | |
| 1E | 5,349,638 | September 20, 1994 | Pitroda et al. | |
| 1F | 5,440,632 | August 8, 1995 | Bacon et al. | |
| 1G | 5,056,138 | October 8, 1991 | Tyson, Sr. | Technology Center 2600 |
| 1H | 4,621,282 | November 4, 1986 | Ahern | |
| 1I | 5,293,633 | March 8, 1994 | Robbins | |
| 1J | 5,038,402 | August 6, 1991 | Robbins | |
| 1K | 5,579,522 | November 26, 1996 | Christeson et al. | |
| 1L | 5,689,663 | November 18, 1997 | Williams | |

RECEIVED

MAY 06 2004

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | WO 80/00269 | February 7, 1980 | Block | | <input type="checkbox"/> |
| 1N | EP 0158767 | October 23, 1985 | Sturgis et al. | | <input type="checkbox"/> |
| 1O | WO 89/12370 | December 14, 1989 | Monslow et al. | | <input type="checkbox"/> |
| 1P | WO 95/25005 | August 15, 1995 | Gosney | | <input type="checkbox"/> |
| 1Q | WO 96/06109 | March 14, 1996 | Carles | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|---|
| 1R | Kruger, The Digital Television Identification System, <u>Journal ntz</u> , Vol. 35, 1982. |
| 1S | Eitz, et al., The Next Stage, <u>Funkschau</u> , March 1988. |
| 1T | Hedger, John, Broadcast Software—Experience with ORACLE, © 1980 by Online Conferences, Inc. |

EXAMINER *[Signature]* DATE CONSIDERED *11/21/05*

PATENT APPLICATION

Sheet 11 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|------------------|-----------------|------------------|
| ATTY. DOCKET NO. | APPLICATION NO. | CONFIRMATION NO. |
| 3698.D7 | 09/964,891 | 2109 |

| | | |
|-----------|--|--|
| APPLICANT | | |
|-----------|--|--|

| | | |
|-------------------|--|--|
| John S. HENDRICKS | | |
|-------------------|--|--|

| | |
|-------------|-------|
| FILING DATE | GROUP |
| 9/28/2001 | 2611 |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|----|-----------------|--------------------|-----------------|---|
| | 1A | 4,885,803 | December 5, 1989 | Richards | |
| | 1B | 4,695,880 | September 22, 1987 | Johnson et al. | |
| | 1C | 4,829,372 | May 9, 1989 | McCalley et al. | |
| | 1D | 5,235,419 | August 10, 1993 | Krause | |
| | 1E | 4,197,590 | April 8, 1980 | Sukonick et al. | |
| | 1F | 3,978,470 | August 31, 1976 | McGuire | |
| | 1G | 4,528,589 | July 9, 1985 | Block et al. | Technology Center 2600 |
| | 1H | 4,920,432 | April 24, 1990 | Eggers et al. | |
| | 1I | 5,221,962 | June 22, 1993 | Backus et al. | |
| | 1J | 5,438,372 | August 1, 1995 | Tsumori et al. | |
| | 1K | 4,739,510 | April 19, 1988 | Jeffers et al. | |
| | 1L | 5,003,384 | March 26, 1991 | Durden et al. | |

RECEIVED

MAY 06 2004

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| | 1M | WO 94/16527 | July 21, 1994 | Oberle | | <input type="checkbox"/> |
| | 1N | EP 0620689 | October 19, 1994 | Lambert | | <input type="checkbox"/> |
| | 1O | WO 97/22112 | June 19, 1997 | Huffman et al. | | <input type="checkbox"/> |
| | 1P | WO 98/18086 | April 30, 1998 | Reynolds et al. | | <input type="checkbox"/> |
| | 1Q | WO 98/08344 | February 26, 1998 | Sachs et al. | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | SuperVGA Display Products User's Guide, 1 st Edition, © Nokia Data AB 1991 |
| 1S | Lieferchein Nr. 20139924, Product Description, October 28, 1991 |
| 1T | Applied Cryptography, Protocols, Algorithms, And Source Code in C, (pp. 34-44) Schneier, Bruce, Pub. 199 by John Wiley & Sons |

EXAMINER

DATE CONSIDERED

11/11/05

PATENT APPLICATION

Sheet 12 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|--------------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| APPLICANT John S. HENDRICKS | | |
| FILING DATE 9/28/2001 | GROUP 2611 | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | |
|------------------------------|-----------------|------------------------------|--------------------|---|
| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
| 1A | 5,432,561 | July 11, 1995 | Strubbe | |
| 1B | 5,339,239 | August 16, 1994 | Manabe et al. | |
| 1C | 5,805,204 | September 8, 1998 | Thompson et al. | |
| 1D | 4,985,697 | January 15, 1991 | Boulton | |
| 1E | 5,737,725 | April 7, 1998 | Case | |
| 1F | 5,799,157 | August 25, 1998 | Escallon | MAY 06 2004 |
| 1G | 5,917,915 | June 29, 1999 | Hirose | Technology Center 2600 |
| 1H | 5,890,122 | March 30, 1999 | Van Kleeck, et al. | |
| 1I | 6,052,717 | April 18, 2000 | Reynolds et al. | |
| 1J | 6,034,680 | March 7, 2000 | Kessenich, et al. | |
| 1K | 5,446,490 | August 29, 1995 | Blahut et al. | |
| 1L | 4,751,578 | June 14, 1988 | Reiter et al. | |

RECEIVED

MAY 06 2004
Technology Center 2600**FOREIGN PATENT DOCUMENTS**

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | WO 98/02836 | January 22, 1998 | Carson | | <input type="checkbox"/> |
| 1N | EP 0838798 | March 29, 1998 | L'Allier et al. | | <input type="checkbox"/> |
| 1O | GB 2269302 | February 2, 1994 | Tanaka et al. | | <input type="checkbox"/> |
| 1P | JP 0-284571 | October 31, 1997 | Kiyoshi | | <input type="checkbox"/> |
| 1Q | JP 7-235909 | September 5, 1995 | Toshio | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

| | |
|--------------------------------|------------------------------------|
| EXAMINER <i>[Signature]</i> | DATE CONSIDERED <i>11/21/05</i> |
|--------------------------------|------------------------------------|

PATENT APPLICATION

Sheet 13 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|------------------|-----------------|------------------|
| ATTY. DOCKET NO. | APPLICATION NO. | CONFIRMATION NO. |
| 3698.D7 | 09/964,891 | 2109 |

APPLICANT

John S. HENDRICKS

| | |
|-------------|-------|
| FILING DATE | GROUP |
| 9/28/2001 | 2611 |

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|----|-----------------|--------------------|-----------------|---|
| | 1A | 3,860,873 | January 14, 1975 | Ringstad | |
| | 1B | 5,742,680 | April 21, 1998 | Wilson | |
| | 1C | 5,115,426 | May 19, 1992 | Spanke | |
| | 1D | 5,844,600 | December 1, 1998 | Kerr | RECEIVED |
| | 1E | 5,384,588 | January 24, 1995 | Martin et al. | MAY 06 2004 |
| | 1F | 5,124,980 | June 23, 1992 | Maki | Technology Center 2600 |
| | 1G | 4,533,948 | April 30, 1982 | McNamara et al. | |
| | 1H | 4,805,014 | February 14, 1989 | Sahara et al. | |
| | 1I | 5,815,671 | September 29, 1998 | Morrison | |
| | 1J | 6,029,045 | February 22, 2000 | Picco et al. | |
| | 1K | 5,774,170 | June 30, 1998 | Hite et al. | |
| | 1L | 4,930,160 | May 29, 1990 | Vogel | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|-----------------|------------------|-------------------------------|--|-------------------------------|
| | 4M | WO 97/20274 | June 5, 1997 | Mynyan | | <input type="checkbox"/> |
| | 1N | EP 0924687 | June 23, 1999 | Vergo et al. | | <input type="checkbox"/> |
| | 1O | EP 0892388 | January 20, 1999 | Juang et al. | | <input type="checkbox"/> |
| | 1P | WO 97/23110 | June 19, 1997 | Huffman et al. | | <input type="checkbox"/> |
| | 1Q | JP 6-134489 | January 12, 1994 | Tomohiro | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----------|-----------------|
| 1R | |
| 1S | |
| 1T | |
| EXAMINER | DATE CONSIDERED |

11/216/05

PATENT APPLICATION

Sheet 14 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|------------------|-------------------|------------------|
| ATTY. DOCKET NO. | APPLICATION NO. | CONFIRMATION NO. |
| 3698.D7 | 09/964,891 | 2109 |
| APPLICANT | John S. HENDRICKS | |
| FILING DATE | GROUP | |
| 9/28/2001 | 2611 | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|--|-----------------|--------------------|------------------|---|
| 1A | | 5,389,964 | February 14, 1995 | Oberle et al. | |
| 1B | | 5,512,934 | April 30, 1996 | Kochanski | |
| 1C | | 4,674,085 | June 16, 1987 | Aranguren et al. | |
| 1D | | 4,816,904 | March 28, 1989 | McKenna et al. | |
| 1E | | 5,953,485 | September 14, 1999 | Abecassis | |
| 1F | | 6,166,778 | December 26, 2000 | Yamamoto et al. | MAY 06 2004 |
| 1G | | 6,163,316 | December 19, 2000 | Killian | Technology Center 2600 |
| 1H | | 6,204,885 | March 20, 2001 | Kwoh | |
| 1I | | 5,341,426 | August 23, 1994 | Barney et al. | |
| 1J | | 4,331,974 | May 25, 1982 | Cogswell et al. | |
| 1K | | 5,600,364 | February 4, 1997 | Hendricks et al. | |
| 1L | | 5,192,999 | March 9, 1993 | Graczyk et al. | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|--|-----------------|--------------------|-------------------------------|--|-------------------------------|
| 1M | | WO 99/45491 | September 10, 1999 | Eberhard et al. | | <input type="checkbox"/> |
| 1N | | WO 94/07327 | March 31, 1994 | Marasovich, et al. | | <input type="checkbox"/> |
| 1O | | WO 99/18701 | April 15, 1999 | Henderson, et al. | | <input type="checkbox"/> |
| 1P | | WO 97/41688 | November 6, 1997 | D'Agostino | | <input type="checkbox"/> |
| 1Q | | JP 408051614 | February 20, 1996 | Inagaki | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

EXAMINER

DATE CONSIDERED

11/21/05

PATENT APPLICATION

Sheet 15 of 26



| | | | | |
|--|--|--------------------------------|-------------------------------|--------------------------|
| FORM PTO 1449 | | ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | APPLICANT John S. HENDRICKS | | |
| | | FILING DATE 9/28/2001 | GROUP 2611 | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|----|-----------------------|--------------------|-------------------|---|
| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
| | 1A | 5,247,575 | September 21, 1993 | Sprague et al. | |
| | 1B | 5,347,304 | September 13, 1994 | Moura et al. | |
| | 1C | 5,421,031 | May 30, 1995 | De Bey | |
| | 1D | 5,600,775 | February 4, 1997 | King, et al. | |
| | 1E | 5,929,849 | July 27, 1999 | Kikinis | |
| | 1F | 5,600,368 | February 4, 1997 | Matthews, III | MAY 06 2004 |
| | 1G | 4,779,138 | October 18, 1988 | Nomura, et al. | Technology Center 2600 |
| | 1H | 5,957,695 | September 28, 1999 | Redford, et al. | |
| | 1I | 5,986,690 | November 16, 1999 | Hendricks | |
| | 1J | 5,600,573 | February 4, 1997 | Hendricks, et al. | |
| | 1K | 5,528,281 | June 18, 1996 | Grady et al. | |
| | 1L | 4,835,607 | May 30, 1989 | Keith | |

RECEIVED

MAY 06 2004

2600

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
| | 1M | JP 410265568 | October 23, 1998 | Shishino | | <input type="checkbox"/> |
| | 1N | JP 408228328 | September 3, 1996 | Fujioka | | <input type="checkbox"/> |
| | 1O | WO 96/17306 | June 6, 1996 | Laursen, et al. | | <input type="checkbox"/> |
| | 1P | EP 0702491 | March 20, 1996 | Belknap, et al. | | <input type="checkbox"/> |
| | 1Q | JP 4233886 | June 25, 1991 | Kauffman, et al. | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

| | |
|--------------------------------|-----------------------------|
| EXAMINER <i>[Signature]</i> | DATE CONSIDERED 11/21/05 |
|--------------------------------|-----------------------------|

PATENT APPLICATION

Sheet 16 of 26



FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|--------------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| APPLICANT John S. HENDRICKS | | |
| FILING DATE 9/28/2001 | GROUP 2611 | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|-------------------|-------------------|---|
| 1A | 5,479,508 | December 26, 1995 | Bestler, et al. | |
| 1B | 3,647,977 | March 7, 1972 | Closs | |
| 1C | 5,508,733 | April 16, 1996 | Kassatly | |
| 1D | 5,805,677 | September 8, 1998 | Ferry et al. | RECEIVED |
| 1E | 5,640,196 | June 17, 1997 | Behrens et al. | MAY 06 2004 |
| 1F | 6,252,690 | June 26, 2001 | Laine | |
| 1G | 5,497,187 | March 5, 1996 | Banker et al. | Technology Center 2600 |
| 1H | 4,558,464 | December 10, 1985 | O'Brien Jr. | |
| 1I | 5,758,257 | May 26, 1998 | Herz et al. | |
| 1J | 5,241,428 | August 31, 1993 | Goldwasser et al. | |
| 1K | 6,154,633 | November 28, 2000 | Landgraf et al. | |
| 1L | 5,539,449 | July 23, 1996 | Blahut et al. | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | WO 98/10997 | January 15, 1998 | Krebs | | <input type="checkbox"/> |
| 1N | WO 98/41473 | December 19, 1996 | Ilicsin, et al. | | <input type="checkbox"/> |
| 1O | WO 99/20415 | May 27, 1999 | Bar-El | | <input type="checkbox"/> |
| 1P | WO 99/66710 | December 23, 1999 | Zsigmond, et al. | | <input type="checkbox"/> |
| 1Q | EP 0821522 | January 28, 1998 | Sato, et al. | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

| | |
|----------------------------|------------------------------------|
| EXAMINER <i>Jon Ehr</i> | DATE CONSIDERED <i>11/16/05</i> |
|----------------------------|------------------------------------|

PATENT APPLICATION

Sheet 17 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

APPLICANT

John S. HENDRICKS

| | |
|--------------------------|---------------|
| FILING DATE 9/28/2001 | GROUP 2611 |
|--------------------------|---------------|

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|--|-----------------|-------------------|-------------------|---|
| 1A | | 4,673,976 | June 16, 1987 | Wreford-Howard | |
| 1B | | 5,367,330 | November 22, 1994 | Haave, et al. | |
| 1C | | 4,802,008 | January 31, 1989 | Walling | |
| 1D | | 6,101,324 | August 8, 2000 | Connell, et al. | |
| 1E | | 5,206,929 | April 27, 1993 | Langford, et al. | |
| 1F | | 4,455,570 | June 19, 1984 | Saeki, et al. | MAY 06 2004 |
| 1G | | 4,546,382 | October 8, 1985 | McKenna, et al. | Technology Center 2600 |
| 1H | | 5,055,924 | October 8, 1991 | Skutta | |
| 1I | | 4,602,279 | July 22, 1986 | Freeman | |
| 1J | | 5,329,590 | July 12, 1994 | Pond | |
| 1K | | 5,426,699 | June 20, 1995 | Wunderlich et al. | |
| 1L | | 5,317,391 | May 31, 1994 | Banker et al. | |

RECEIVED

MAY 06 2004

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|--|-----------------|--------------------|-------------------------------------|--|-------------------------------------|
| 1M | | EP 0734157 | September 25, 1996 | Oya, et al. | | <input type="checkbox"/> |
| 1N | | EP 0845904 | June 3, 1998 | Suzuki et al. | | <input type="checkbox"/> |
| 1Q | | WO 99/52285 | October 14, 1999 | Hendricks, et al. | | <input type="checkbox"/> |
| 1P | | GB 2344009 | May 24, 2000 | Tuttlebee et al. | | <input type="checkbox"/> |
| 1Q | | WO 98/48566 | October 29, 1998 | Mankevitz | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

EXAMINER

DATE CONSIDERED

11/21/05

PATENT APPLICATION

Sheet 18 of 26



FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

APPLICANT

John S. HENDRICKS

| | |
|--------------------------|---------------|
| FILING DATE 9/28/2001 | GROUP 2611 |
|--------------------------|---------------|

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|-------------------|------------------|---|
| 1A | 5,675,390 | October 7, 1997 | Schindler et al. | |
| 1B | 5,374,951 | December 20, 1994 | Welsh | |
| 1C | 5,729,471 | March 17, 1998 | Jain et al. | |
| 1D | 5,537,141 | July 16, 1996 | Harper et al. | |
| 1E | 5,703,965 | December 30, 1997 | Fu et al. | |
| 1F | 5,838,368 | November 17, 1998 | Masunaga et al. | MAY 06 2004 |
| 1G | 5,793,872 | August 11, 1998 | Hirayama et al. | Technology Center 2600 |
| 1H | 5,833,468 | November 10, 1998 | Guy et al. | |
| 1I | 5,467,402 | November 14, 1995 | Okuyama et al. | |
| 1J | 5,818,511 | October 6, 1998 | Farry et al. | |
| 1K | 4,677,685 | June 30, 1987 | Kurisu | |
| 1L | 6,201,536 | March 13, 2001 | Hendricks et al. | |

RECEIVED

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | JP-60143088 | July 29, 1985 | Hiroyuki | | <input type="checkbox"/> |
| 1N | EP 0835915 | April 8, 1998 | Alfred | | <input type="checkbox"/> |
| 1O | EP 0586954 | March 16, 1994 | Iglehart | | <input type="checkbox"/> |
| 1P | DE 19765742 | June 17, 1999 | Blawat | | <input type="checkbox"/> |
| 1Q | WO 01/11874 | February 15, 2001 | Tortz | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

EXAMINER

DATE CONSIDERED

11/21/05

PATENT APPLICATION

Sheet 19 of 26



FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

| | | |
|--------------------------------|--------------------------|---------------|
| APPLICANT John S. HENDRICKS | FILING DATE 9/28/2001 | GROUP 2611 |
|--------------------------------|--------------------------|---------------|

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|----|-----------------------|-------------------|-------------------|---|
| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
| | 1A | 5,550,863 | August 27, 1996 | Yurt et al. | |
| | 1B | 4,279,035 | July 14, 1981 | Skerlos | |
| | 1C | 4,887,308 | December 12, 1989 | Dutton | |
| | 1D | 4,513,315 | April 23, 1985 | Dekker et al. | |
| | 1E | 5,270,809 | December 14, 1993 | Gammie et al. | |
| | 1F | 5,115,309 | May 19, 1992 | Hang | MAY 06 2004 |
| | 1G | 5,083,205 | January 21, 1992 | Arai | Technology Center 2600 |
| | 1H | 5,442,452 | August 15, 1995 | Ryu | |
| | 1I | 5,386,241 | January 31, 1995 | Park | |
| | 1J | 5,208,660 | May 4, 1993 | Yoshida | |
| | 1K | 5,121,205 | June 9, 1992 | Ng et al. | |
| | 1L | 5,579,522 | November 26, 1996 | Christeson et al. | |

RECEIVED

MAY 06 2004

Technology Center 2600

FOREIGN PATENT DOCUMENTS

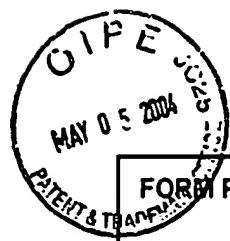
| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| | 1M | WO 01/18665 | March 15, 2001 | Hendricks | | <input type="checkbox"/> |
| | 1N | WO 98/53581 | November 26, 1998 | Gaw et al. | | <input type="checkbox"/> |
| | 1O | EP 0646856 | April 5, 1995 | Gaw et al. | | <input type="checkbox"/> |
| | 1P | GB 2255214A | October 28, 1992 | Dufresne et al. | | <input type="checkbox"/> |
| | 1Q | WO 97/12486 | April 3, 1997 | Slezak | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

11/21/05



PATENT APPLICATION

Sheet 20 of 26

FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

APPLICANT

John S. HENDRICKS

| | |
|--------------------------|---------------|
| FILING DATE 9/28/2001 | GROUP 2611 |
|--------------------------|---------------|

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|-------------------|----------------------|---|
| 1A | 3,993,955 | November 23, 1976 | Belcher et al. | |
| 1B | 3,946,158 | March 23, 1976 | Leclercq et al. | |
| 1C | 5,715,315 | February 3, 1998 | Handelman | |
| 1D | 5,448,313 | September 5, 1995 | Kim et al. | |
| 1E | 5,255,086 | October 19, 1993 | McMultan, Jr. et al. | |
| 1F | 5,621,456 | April 15, 1997 | Florin et al. | MAY 06 2004 |
| 1G | 5,412,720 | May 2, 1995 | Hoarty | |
| 1H | 4,924,303 | May 8, 1990 | Brandon et al. | Technology Center 2600 |
| 1I | 4,974,252 | November 27, 1990 | Osborne | |
| 1J | 5,134,712 | July 28, 1992 | Yamamoto | |
| 1K | 4,451,701 | May 29, 1984 | Bendig | |
| 1L | 4,691,340 | September 1, 1987 | Maeda, et al. | |

RECEIVED

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|------------------|-------------------------------------|--|-------------------------------------|
| 1M | JP 09227193 | August 8, 1997 | Masateru | | <input type="checkbox"/> |
| 1N | EP 0924629 | June 23, 1999 | Young | | <input type="checkbox"/> |
| 1O | EP 0990041 | October 3, 1990 | Ikezaki | | <input type="checkbox"/> |
| 1P | WO 95/15658 | June 8, 1995 | Hendricks et al. | | <input type="checkbox"/> |
| 1Q | JP 61-202587 | March 5, 1985 | Sonoda | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1R

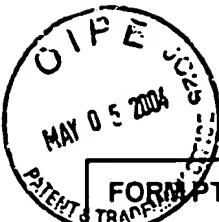
1S

1T

EXAMINER

DATE CONSIDERED

11/21/05



PATENT APPLICATION

Sheet 21 of 26

| FORM PTO 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>(Use several sheets if necessary)</small> | | | ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 | |
|--|----|-----------------|---------------------------------------|-------------------------------|---|-------------------------------|
| | | | APPLICANT John S. HENDRICKS | | | |
| | | | FILING DATE 9/28/2001 | GROUP 2611 | | |
| | | | REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear | |
| | 1A | 5,307,481 | April 26, 1994 | Shimazaki, et al. | | |
| | 1B | 4,896,354 | January 23, 1990 | Inagaki, et al. | | |
| | 1C | 5,003,591 | March 26, 1991 | Kauffman, et al. | | |
| | 1D | 5,917,537 | June 29, 1999 | Lightfoot, et al. | RECEIVED | |
| | 1E | 6,119,154 | September 12, 2000 | Weaver, et al. | MAY 06 2004 | |
| | 1F | 5,819,301 | October 6, 1998 | Rowe, et al. | Technology Center 2600 | |
| | 1G | 5,727,065 | March 10, 1998 | Dillon | | |
| | 1H | 5,844,890 | December 1, 1998 | Delp, et al. | | |
| | 1I | 5,721,956 | February 24, 1998 | Martin, et al. | | |
| | 1J | 5,588,139 | December 24, 1996 | Lanier, et al. | | |
| | 1K | 5,588,104 | December 24, 1996 | Lanier, et al. | | |
| | 1L | 5,737,533 | April 7, 1998 | de Hond | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
| | 1M | GB 2259830 | March 24, 1993 | Willis | | <input type="checkbox"/> |
| | 1N | WO 92/04801 | March 19, 1992 | Young | | <input type="checkbox"/> |
| | 1O | JP 3029456 | February 7, 1991 | Kiyoshi | | <input type="checkbox"/> |
| | 1P | WO 01/01689 | January 4, 2001 | McCoy, et al. | | <input type="checkbox"/> |
| | 1Q | WO 98/28906 | July 2, 1998 | Rosser | | <input type="checkbox"/> |
| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) | | | | | | |
| | 1R | | | | | |
| | 1S | | | | | |
| | 1T | | | | | |
| EXAMINER <i>[Signature]</i> | | | DATE CONSIDERED 11/21/05 | | | |

PATENT APPLICATION

Sheet 22 of 26



| | | | | |
|--|--|--------------------------------|-------------------------------|--------------------------|
| FORM PTO 1449 | | ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | APPLICANT John S. HENDRICKS | | |
| | | FILING DATE 9/28/2001 | GROUP 2611 | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | |
|-----------------------|-----------------|-----------------------|----------------------|---|
| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
| 1A | 5,745,710 | April 28, 1998 | Clanton, III, et al. | |
| 1B | 5,774,122 | June 30, 1998 | Kojima, et al. | |
| 1C | 5,260,788 | November 9, 1993 | Takano, et al. | |
| 1D | 5,151,785 | September 29, 1992 | Citta | RECEIVED |
| 1E | 5,335,313 | August 2, 1994 | Douglas | MAY 06 2004 |
| 1F | 4,484,218 | November 20, 1984 | Boland, et al. | Technology Center 2600 |
| 1G | 5,054,071 | October 1, 1991 | Bacon | |
| 1H | 3,794,923 | February 26, 1974 | Ellis | |
| 1I | 4,509,198 | April 2, 1985 | Nagatomi | |
| 1J | 5,754,938 | May 19, 1998 | Herz, et al. | |
| 1K | 01/0013123 | August 9, 2001 | Freeman et al. | |
| 1L | 5,848,396 | December 8, 1998 | Gerace | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|-------------------|-------------------------------|--|-------------------------------|
| 1M | EP 0 488 379 | June 3, 1992 | Takano, et al. | | <input type="checkbox"/> |
| 1N | EP 0 486 989 | November 18, 1991 | Dini | | <input type="checkbox"/> |
| 1O | | | | | <input type="checkbox"/> |
| 1P | | | | | <input type="checkbox"/> |
| 1Q | | | | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | | | |
|----|--|--|--|
| 1R | | | |
| 1S | | | |
| 1T | | | |

| | |
|--------------------------------|-----------------------------|
| EXAMINER <i>[Signature]</i> | DATE CONSIDERED 11/21/05 |
|--------------------------------|-----------------------------|



PATENT APPLICATION

Sheet 23 of 26

FORM PTO 1449

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**
(Use several sheets if necessary)

| | | |
|-----------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
|-----------------------------|-------------------------------|--------------------------|

| | | |
|-----------|-------------------|--|
| APPLICANT | John S. HENDRICKS | |
|-----------|-------------------|--|

| | |
|--------------------------|---------------|
| FILING DATE 9/28/2001 | GROUP 2611 |
|--------------------------|---------------|

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|--------------------|----------------------|---|
| 1A | 5,517,502 | May 14, 1996 | Bestler, et al. | |
| 1B | 5,745,556 | April 28, 1998 | Ronen | |
| 1C | 5,809,204 | September 15, 1998 | Young, et al. | |
| 1D | 5,880,769 | March 9, 1999 | Nemirofsky, et al. | RECEIVED |
| 1E | 5,600,364 | February 4, 1997 | Hendricks, et al. | MAY 06 2004 |
| 1F | 4,985,761 | January 15, 1991 | Adams | Technology Center 2600 |
| 1G | 4,695,879 | September 22, 1987 | Weinblatt | |
| 1H | 5,029,232 | July 2, 1991 | Nall | |
| 1I | 5,251,324 | October 5, 1993 | McMullan, Jr. | |
| 1J | 5,659,350 | August 19, 1997 | Hendricks, et al. | |
| 1K | 6,539,548 | March 25, 2003 | Hendricks, et al. | |
| 1L | 5,818,441 | October 6, 1998 | Throckmorton, et al. | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|----|-----------------|------------------|-------------------------------------|--|-------------------------------------|
| 1M | | | | | <input type="checkbox"/> |
| 1N | | | | | <input type="checkbox"/> |
| 1O | | | | | <input type="checkbox"/> |
| 1P | | | | | <input type="checkbox"/> |
| 1Q | | | | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

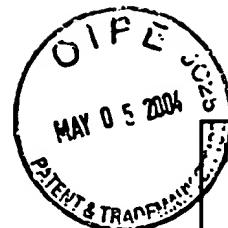
| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

EXAMINER

DATE CONSIDERED

PATENT APPLICATION

Sheet 24 of 26



| FORM PTO 1449 | | | ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 | |
|--|----|-----------------------|--------------------------------|-------------------------------------|--|-------------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | APPLICANT John S. HENDRICKS | | | |
| | | | FILING DATE 9/28/2001 | GROUP 2611 | | |
| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear | |
| | 1A | 01/0027493 | October 4, 2001 | Wallace | | |
| | 1B | 6,138,147 | October 24, 2000 | Weaver, et al. | | |
| | 1C | 5,835,087 | November 10, 1998 | Herz, et al. | | |
| | 1D | 6,486,892 | November 26, 2002 | Stern | RECEIVED | |
| | 1E | 6,177,931 | January 23, 2001 | Alexander, et al. | MAY 06 2004 | |
| | 1F | 5,630,103 | May 13, 1997 | Smith, et al. | Technology Center 2600 | |
| | 1G | 5,787,171 | July 28, 1998 | Kubota, et al. | | |
| | 1H | 4,747,785 | May 31, 1988 | Roberts, et al. | | |
| | 1I | 5,195,022 | March 16, 1993 | Hoppal, et al. | | |
| | 1J | 5,231,494 | July 27, 1993 | Wachob | | |
| | 1K | 5,311,425 | August 8, 1989 | Inada | | |
| | 1L | 6,288,753 | September 11, 2001 | DeNicola, et al. | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
| | 1M | | | | | <input type="checkbox"/> |
| | 1N | | | | | <input type="checkbox"/> |
| | 1O | | | | | <input type="checkbox"/> |
| | 1P | | | | | <input type="checkbox"/> |
| | 1Q | | | | | <input type="checkbox"/> |
| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) | | | | | | |
| | 1R | | | | | |
| | 1S | | | | | |
| | 1T | | | | | |
| EXAMINER <i>[Signature]</i> | | | DATE CONSIDERED 11/20/05 | | | |



PATENT APPLICATION

Sheet 25 of 26

| FORM PTO 1449 | | | ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 | |
|--|----|-----------------------|------------------------------------|-------------------------------|---|-------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | APPLICANT John S. HENDRICKS | | | |
| | | | FILING DATE 9/28/2001 | GROUP 2611 | | |
| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear | |
| | 1A | 6,099,320 | August 8, 2000 | Papadopoulos | | |
| | 1B | 4,775,935 | October 4, 1988 | Yourick | | |
| | 1C | 5,483,277 | January 9, 1996 | Granger | | |
| | 1D | 4,581,484 | April 8, 1986 | Bendig | | |
| | 1E | 4,225,884 | September 30, 1980 | Block, et al. | RECEIVED | |
| | 1F | 5,490,247 | February 6, 1996 | Tung, et al. | MAY 06 2004 | |
| | 1G | 5,493,568 | February 20, 1996 | Sampat, et al. | Technology Center 2000 | |
| | 1H | 5,621,793 | April 15, 1997 | Bednarek, et al. | | |
| | 1I | 6,182,050 | January 30, 2001 | Ballard | | |
| | 1J | 5,235,328 | August 10, 1993 | Kurita | | |
| | 1K | 4,825,220 | April 25, 1989 | Evans, et al. | | |
| | 1L | 6,463,585 | October 8, 2002 | Hendricks, et al. | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
| | 1M | | | | | <input type="checkbox"/> |
| | 1N | | | | | <input type="checkbox"/> |
| | 1O | | | | | <input type="checkbox"/> |
| | 1P | | | | | <input type="checkbox"/> |
| | 1Q | | | | | <input type="checkbox"/> |
| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) | | | | | | |
| | 1R | | | | | |
| | 1S | | | | | |
| | 1T | | | | | |
| EXAMINER <i>[Signature]</i> | | | DATE CONSIDERED <i>11/21/05</i> | | | |

PATENT APPLICATION

Sheet 26 of 26



FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

| | | |
|---------------------------------------|-------------------------------|--------------------------|
| ATTY. DOCKET NO. 3698.D7 | APPLICATION NO. 09/964,891 | CONFIRMATION NO. 2109 |
| APPLICANT John S. HENDRICKS | | |
| FILING DATE 9/28/2001 | GROUP 2611 | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|----|-----------------|-------------------|-------------------|---|
| | 1A | 02/0087980 | July 4, 2002 | Elderding, et al. | |
| | 1B | 5,929,932 | July 27, 1999 | Otsuki et al. | |
| | 1C | 5,307,173 | April 26, 1994 | Yuen et al. | |
| | 1D | 6,016,141 | January 18, 2000 | Knudson et al. | RECEIVED |
| | 1E | 4,646,150 | February 24, 1987 | Robbins, et al. | MAY 06 2004 |
| | 1F | 4,907,082 | March 6, 1990 | Richards | Technology Center 2800 |
| | 1G | 5,594,509 | January 14, 1997 | Florin, et al. | |
| | 1H | 4,935,924 | June 19, 1990 | Baxter | |
| | 1I | 4,658,290 | April 14, 1987 | McKenna, et al. | |
| | 1J | 4,888,638 | December 19, 1989 | Bohn | |
| | 1K | 5,488,409 | January 30, 1996 | Yuen, et al. | |
| | 1L | 5,408,258 | April 18, 1995 | Kolessar | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|-----------------|------------------|-------------------------------|--|-------------------------------|
| | 1M | | | | | <input type="checkbox"/> |
| | 1N | | | | | <input type="checkbox"/> |
| | 1O | | | | | <input type="checkbox"/> |
| | 1P | | | | | <input type="checkbox"/> |
| | 1Q | | | | | <input type="checkbox"/> |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|--|
| 1R | |
| 1S | |
| 1T | |

EXAMINER

DATE CONSIDERED

11/21/05